TEN'S TROP

AMENDMENT TRANSMITTAL LETTER

DOCKET NUMBER: P-RD 4806

SERIAL NO: 09/882.274 FILING DATE: June 15, 2001

EXAMINER: Lu, Frank Wei Min GROUP ART UNIT: 1634

INVENTION: USE OF PHOSPHOROTHIOLATE POLYNUCLEOTIDES IN LITIGATING NUCLEIC ACIDS

TO COMMISSIONER FOR PATENTS

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL NUMBER: EL 857044685 US

DATE OF DEPOSIT: November 13, 2002

HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING SEPOSITED WITH THE UNITED STATES POSTAL SERVICE EXPRESS MAIL POST OFFICE TO ADDRESSES SERVICE UNDER TO C.F.R. 1.10 ON THE DATE INDICATED ABOVE, AND IS CRESSED TO, COMMISSIONER FOR PATENTS MASHINGTON, D.C. 20231.

WHEN THE PROPERTY OF THE P

ILING PAPER OR FEE)

NOV 1 9 2002 **TECH CENTER 1600/2900**

RECEIVED

Transmitted herewith is a Response to Office Action, mailed August 13, 2002, in the above-identified application.

- Small Entity status of this application has been established under 37 CFR 1.27.
- Petition for a two-month Extension of Time is enclosed (in duplicate).
- Terminal Disclaimer with fee under 37 C.F.R. 1.20(d) is enclosed.
- X No additional claims fee is required.
- An additional claims fee is required and has been calculated as shown below:

CLAIMS AS AMENDED

	·				110 110 111111	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					
	NUMBER AFTER AMEND- MENT		HIGHEST NUMBER		NUMBER OF EXTRA CLAIMS PRESENTED		RATE		FEE		
			PREVIOUSLY PAID FOR				SMALL ENTITY	OTHER ENTITY		SMALL ENTITY	OTHER ENTITY
TOTAL CLAIMS	56	-	56	-	0	x	\$9	\$18	=	\$	\$0
INDEPEN- DENT CLAIMS	10	-	10	-	0	×	\$42	\$84	=	\$	\$0
FIRST PRESENTAT MULTIPLE DEPENDENT			YES		X NO		\$140	\$280	=	\$	\$0
							TOTAL ADDITIONAL FEE			\$	\$0

- If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 20, write "20" in this space.
- If the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 3, write "3" in this space.
- If the difference between the "NUMBER AFTER AMENDMENT" and the "HIGHEST NUMBER PREVIOUSLY PAID FOR" is less than 0, write "0" in the space.
- Please charge my Deposit Account No. 03-0370 the amount of . A duplicate copy of this sheet is enclosed.

Inventors: Burgin and Stewart Serial No.: 09/882,274

Filed: June 15, 2001

Page 2

- X A check in the amount of \$400.00 is enclosed, which covers the fee for a two-month extension of time.
- \underline{X} The Commissioner is hereby authorized to charge payment of any fees associated with this communication or credit any overpayment to Deposit Account No. 03-0370. A duplicate copy of this sheet is enclosed.
- X The Commissioner is hereby authorized to charge to Deposit Account No. 03-0370 any fees under 37 CFR 1.17 which may be required under 37 CFR 1.136(a)(3) for an extension of time in any concurrent or future reply requiring a petition for extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Pamela USu Pamela M. Guy

Registration No. 51,228 CAMPBELL & FLORES LLP

4370 La Jolla Village Drive 7th Floor

San Diego, California 92122 858-535-9001

USPTO CUSTOMER NO. 23601



PATENT
Our Docket: P-RD 4806
TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK

In re Application of

Burgin and Stewart

Serial No: 09/882,274

Filed: June 15, 2001

USE OF For:

> PHOSPHOROTHIOLATE POLYNUCLEOTIDES IN

LIGATING NUCLEIC ACIDS

Commissioner for Patents Washington, D.C.

Confirmation No: 7878 Group Art Unit: 1634

Examiner: Lu, Frank Wei Min

CERTIFICATE OF MAILING BY "EXPRESS MAIL"

"EXPRESS MAIL" MAILING LABEL NUMBER: EL 857044685 US

DATE OF DEPOSIT: November 13, 2002

I HEREBY CERTIFY THAT THIS PAPER OR FEE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE "EXPRESS MAIL POST OFFICE TO ADDRESSEE" SERVICE UNDER 37 C.F.R. 1.10 ON THE DATE INDICATED ABOVE, AND IS COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231.

RESPONSE TO RESTRICTION AND ELECTION OF SPECIES REQUIREMENTS

Responsive to the Office Action mailed August 13, 2002, entry of the following remarks is respectfully requested.

REMARKS

Claims 1-56 are pending, and are subject to a restriction requirement under 35 U.S.C. § 121. The claims have been restricted into four groups, as follows:

Claims 1 to 16, directed to a method of non-enzymatic ligation of a nucleic acid (claims 1 to 7) and a method of molecular cloning (claims 8 to 16);

Group II: Claims 17 to 28 and 52 to 55, directed to a kit (claims 17 to 28) and a compound, (claims 52 to 55);

RECEIVED

NOV 1 9 2002

TECH CENTER 1600/2900

Inventors:

Burgin and Stewart

Serial No.: Filed:

09/882,274 June 15, 2001

Page 2

Group III: Claims 29 to 35, directed to a method of ligating a nucleic acid; and

Group IV: Claims 36 to 51 and 56, directed to a kit (claims 36 to 43), a composition (claims 44 to 51) and a compound (claim 56).

Applicants traverse the restriction requirement for the reasons stated below. Nevertheless, in order to be responsive to the Office Action, Applicants elect the claims of Group I, claims 1 to 16, directed to a method of non-enzymatic ligation of a nucleic acid (claims 1 to 7) and a method of molecular cloning (claims 8 to 16). Applicants reserve the right to pursue prosecution of the non-elected claims in a later filed application claiming the benefit of priority of the above-identified Application.

The restriction requirement, sequence election requirement and species election requirements are traversed because examination of the claims of Groups I to IV together would not pose an undue burden on the Examiner.

In particular, the restriction requirement is traversed with respect to the division of the method claims of Group I from the composition claims of Group II. While the claims of Groups I and II are patentably distinct, it is submitted that a thorough search of the elected method claims of Group I will reveal art relevant to the examination of the composition claims of

Inventors: Burgin and Stewart

Serial No.: 09/882,274 Filed:

June 15, 2001

Page 3

Group II. Specifically, claims of Group I are directed to methods for non-enzymatic ligation of a nucleic acid that employ a polynucleotide-3' phosphorothiolate, while the claims of Group II are directed to compositions containing a polynucleotide-3' phosphorothiolate. A search of a method of using a polynucleotide-3' phosphorothiolate in a non-enzymatic ligation of a nucleic acid will, of necessity, reveal information relevant to the examination of a composition containing a polynucleotide-3' phosphorothiolate. Therefore, division of the claims of Groups I and II would result in duplicative searches. For this reason, examination of the claims of elected Group I together with the claims of Groups II would not pose an undue burden on the Examiner.

Applicants respectfully submit that the Sequence Election Requirement set forth on page 4 of the Office Action mailed August 13, 2002, is improper. In this regard, Applicants point out that claims 36 and 44 exclude, rather than claim, the recited sequences.

CONCLUSION

Applicants elect with traverse the claims of Group I, claims 1-16, for examination. Applicants respectfully request that the restriction requirement be reconsidered and that the method claims of elected Group I be examined together with the composition claims of Group II. The Examiner is invited to call Inventors:

Burgin and Stewart

Serial No.: Filed:

09/882,274 June 15, 2001

Page 4

the undersigned agent or Cathryn Campbell if there are any questions regarding this application.

Respectfully submitted,

November 13, 2002

Date

Pamela M. Guy

Registration No. 51,228

Telephone No. (858) 535-9001 Facsimile No. (858) 535-8949

CAMPBELL & FLORES LLP 4370 La Jolla Village Drive 7th Floor San Diego, California 92122 USPTO CUSTOMER NO. 23601